



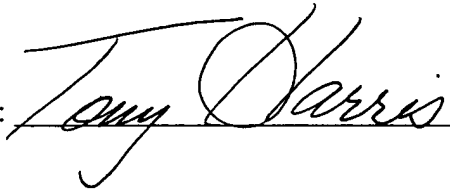
G/H# 3763

CERTIFICATE OF MAILING
(PATENT APPLICATION)

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By: 

Application of: Daryle Lee Petersen

Serial No. 09/945,471

Filing Date: August 30, 2001

Title: Method For Convection Enhanced Delivery Catheter To Treat Brain And Other Tumors

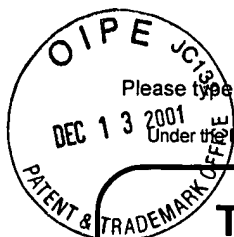
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- X Transmittal Form (1 page) in duplicate
- X Information Disclosure Statement (1 page)
- X Form PTO-1449 (2 pages) with cited references
- X Return Postcard

Attorney Case No.: 11738.00029

G/P# 3763

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PTO/SB/21 (08-00)

Approved for use through 10/31/2002. OMB 0651-0031

U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

| | | | |
|---|-----------------------------|-------------------------------|-------------|
| TRANSMITTAL FORM (to be used for all correspondence after initial filing) | Application Number | 09/945,471 | |
| | Filing Date | August 30, 2001 | |
| | First Named Inventor | Daryle Lee Petersen | |
| | Group Art Unit | 3763 | |
| | Examiner Name | n/a | |
| Total Number of Pages in This Submission | 4 | Attorney Docket Number | 11738.00029 |

ENCLOSURES (check all that apply)

| | | |
|--|--|--|
| <input type="checkbox"/> Fee Transmittal Form <input type="checkbox"/> Fee Attached <input type="checkbox"/> Amendment / Response <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s) <input type="checkbox"/> Extension of Time Request <input type="checkbox"/> Express Abandonment Request <input checked="" type="checkbox"/> Information Disclosure Statement <input type="checkbox"/> Certified Copy of Priority Document(s) <input type="checkbox"/> Response to Missing Parts/ Incomplete Application <input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53 | <input type="checkbox"/> Assignment Papers (for an Application) <input type="checkbox"/> Drawing(s) <input type="checkbox"/> Licensing-related Papers <input type="checkbox"/> Petition Routing Slip (PTO/SB/69) and Accompanying Petition <input type="checkbox"/> Petition to Convert to a Provisional Application <input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Request for Refund <input type="checkbox"/> CD, Number of CD(s) _____ | <input type="checkbox"/> After Allowance Communication to Group <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief) <input type="checkbox"/> Proprietary Information <input type="checkbox"/> Status Letter <input checked="" type="checkbox"/> Other Enclosure(s) (please identify below): Form PTO-149 (2 pages) with cited references Return Receipt Postcard |
| Remarks The Commissioner is hereby authorized to charge any deficiencies in payment or credit any overpayment to our Deposit Account No. 01-0850. | | |

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

| | |
|--------------------------------|--------------------------|
| Firm or Individual name | Janice V. Mitrius |
| Signature | <i>Janice V. Mitrius</i> |
| Date | December 13, 2001 |

CERTIFICATE OF MAILING

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE
(Attorney Docket No. 11738.00029)

PATENT

#2

NDTB

12-26-01

In the Application of:

Daryle Lee Petersen

Serial No. 09/945,471

Group Art Unit: 3763

Filed: August 30, 2001

Examiner: not assigned

For: METHOD FOR CONVECTION ENHANCED
DELIVERY CATHETER TO TREAT BRAIN
AND OTHER TUMORS

DEC 21 2001
TECHNOLOGY CENTER R37C0

INFORMATION DISCLOSURE STATEMENT

Commissioner of Patents
Washington, DC 20231

Dear Sir:

Pursuant to 37 C.F.R. 1.97 and 1.98, the Applicant wishes to make the following references of record in the above-identified application. This Information Disclosure Statement is in compliance with the continuing duty of candor as set forth in 37 C.F.R. 1.56. Copies of the references cited below are enclosed. The references are also listed on the enclosed and completed PTO Form 1449.

REFERENCES

U.S. Patent Documents

1. U.S. Patent No. 5,720,720, issued February 24, 1998. •

Other Prior Art

1. Medtronic, Inc., SynchroMed Infusion System, "Optimizing Therapy Through Programmability," 1995 (4 pages). •

Respectfully submitted,
BANNER & WITCOFF, LTD

Dated: December 13, 2001

By:

Janice V. Mitrius
Janice V. Mitrius
Reg. No. 43,808